

**Option A:** Submit your solutions in writing not much later than February 16.

**Option B:** Present your solutions orally during my office hours (MWF 3–4 in 19D) not much later than February 16.

**What to do when stuck on a problem:**

- Solve another problem first;
- Ask your classmate;
- Ask me during my office hours (bring all your work).

1. Which sets of data given below define categories? Warning: some sets of data are incomplete, e.g., do not specify composition. You must reconstruct all missing data (this is a creative process and can have more than one answer). Some items below have negative answers. Some are purposefully nonsensical.

- Topological spaces and proper maps. (A map  $f: X \rightarrow Y$  is *proper* if it is continuous and for any compact subset  $T \subset Y$  the subset  $f^{-1}(T) \subset X$  is also compact.)
- Sets and relations. (More precisely, given two sets  $X$  and  $Y$ , morphisms  $X \rightarrow Y$  are *relations* from  $X$  to  $Y$ , i.e., subsets of  $X \times Y$ . Two relations  $R \subset X \times Y$  and  $S \subset Y \times Z$  are composed as follows:  $R \circ S = \{(x, z) \mid \exists y \in Y: (x, y) \in R \wedge (y, z) \in S\}$ .)
- Sets and surjective functions.
- Sets and partially defined functions. (A partially defined function  $X \rightarrow Y$  is a function  $A \rightarrow Y$ , where  $A \subset X$ . If  $x \in X$ , we say that  $f$  is *defined* on  $x$  (or:  $f(x)$  is defined) if  $x \in A$ . Partially defined functions are composed as follows: if  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$  are partially defined function, then the composition  $gf$  is defined on  $x \in X$  if  $f$  is defined on  $x$  and  $g$  is defined on  $f(x)$ , in which case  $(gf)(x) := g(f(x))$ .)
- Fix a topological space  $X$  and define a category as follows. Objects are continuous functions with codomain  $X$ , i.e.,  $f: Y \rightarrow X$  ( $Y$  is arbitrary). (Here *morphisms* in **Top** play the role of *objects* in the category that we are constructing.) Morphisms from an object  $f: Y \rightarrow X$  to an object  $f': Y' \rightarrow X$  are continuous maps  $g: Y \rightarrow Y'$  such that  $f'g = f$ .
- The category **Open**. Objects are open subsets of  $\mathbf{R}^n$ , where  $n$  is arbitrary (not fixed). Morphisms  $U \rightarrow V$  are infinitely differentiable maps  $U \rightarrow V$ .
- The category **Open<sub>\*</sub>**. Objects are pairs  $(U, x)$ , where  $U \subset \mathbf{R}^n$  is an open subset and  $x \in U$ . Morphisms  $(U, x) \rightarrow (V, y)$  are infinitely differentiable maps  $U \rightarrow V$  that map  $x$  to  $y$ .
- **Mat<sub>R</sub>**: objects are natural numbers  $n \geq 0$  and morphisms  $m \rightarrow n$  are matrices of size  $n \times m$ . Composition is multiplication of matrices.
- **BR**: there is only one object  $*$ . Morphisms  $* \rightarrow *$  are real numbers. Composition of morphisms is given by multiplication of real numbers.
- There is only one object  $*$ . Morphisms  $* \rightarrow *$  are compactly supported continuous functions  $\mathbf{R} \rightarrow \mathbf{R}$ . Composition of morphisms is given by multiplication of functions.
- **Poset**: objects are partially ordered sets (i.e., a set  $X$  with a relation  $R$  that is reflexive ( $x \leq x$ ), transitive ( $x \leq y$  and  $y \leq z$  implies  $x \leq z$ ), and antisymmetric ( $x \leq y$  and  $y \leq x$  implies  $x = y$ )). Morphisms are functions that preserve the order: if  $x \leq y$ , then  $f(x) \leq f(y)$ .

2. Which sets of data below define functors? (Same warning as above.)

- **Open<sub>\*</sub> → Vect<sub>R</sub>**. Send  $U \subset \mathbf{R}^n$  to  $\mathbf{R}^m$ . Send  $f: (U, x) \rightarrow (V, y)$  to the linear map  $\mathbf{R}^m \rightarrow \mathbf{R}^n$  given by the Jacobian matrix of  $f$  at  $x$ , i.e., the entry in  $i$ th row and  $j$ th column is the value of the  $i$ th partial derivative of the  $j$ th coordinate of  $f$  at point  $x$ . In symbols:  $a_{i,j} = \frac{\partial f_j}{\partial x_i}(x)$ . (The  $j$ th coordinate of  $f$  is the composition  $U \rightarrow V \subset \mathbf{R}^n \rightarrow \mathbf{R}$ , where  $\mathbf{R}^n \rightarrow \mathbf{R}$  is the projection to the  $j$ th component.)
- **Mat<sub>R</sub> → BR**: send any object  $n \geq 0$  of **Mat<sub>R</sub>** to the only object of **BR**. Send a matrix of size  $m \times n$  to its determinant (a morphism in **BR**) if  $m = n$ . Otherwise send it to zero.
- **OpenSet: Top → Poset**: send any topological space  $X$  to the poset **OpenSet**( $X$ ) whose elements are open subsets of  $X$  and the ordering is given by inclusion. Send any continuous map  $f: X \rightarrow Y$  of topological spaces to the map of posets  $g: \mathbf{OpenSet}(X) \rightarrow \mathbf{OpenSet}(Y)$  defined as follows:  $g(U) = \bigcup_{V \subset f(U)} V$ , where  $V$  runs over open subsets of  $Y$ .

3. Define a functor  $\mathbf{Mat}_{\mathbf{R}}^{\text{op}} \rightarrow \mathbf{Mat}_{\mathbf{R}}$ . Define a functor  $\mathbf{Mat}_{\mathbf{R}} \rightarrow \mathbf{Vect}_{\mathbf{R}}$ . Define a functor  $\mathbf{BR} \rightarrow \mathbf{Mat}_{\mathbf{R}}$ . Define a functor  $\mathbf{Mat}_{\mathbf{R}} \rightarrow \mathbf{Open}_{*}$ . Define a functor  $\mathbf{Top}^{\text{op}} \rightarrow \mathbf{Poset}$ .

4. Fix a category  $\mathbf{C}$ . A *section* of a morphism  $f: X \rightarrow Y$  in  $\mathbf{C}$  is a morphism  $g: Y \rightarrow X$  such that  $fg = \text{id}_Y$ . Give an example of a category  $\mathbf{C}$  such that all epimorphisms have sections. Give an example of a category  $\mathbf{C}$

and an epimorphism  $f$  in  $\mathbf{C}$  that does not have a section. Hint: it suffices to use the examples that we studied in class.

**5.** Fix a category  $\mathbf{C}$ . A *bimorphism* in  $\mathbf{C}$  is a morphism  $f$  that is simultaneously a monomorphism and an epimorphism. Is any isomorphism a bimorphism? Give an example of a category  $\mathbf{C}$  and a bimorphism  $f$  in  $\mathbf{C}$  that is not an isomorphism.

**6.** Construct two functors  $D: \mathbf{Set} \rightarrow \mathbf{Set}$  and  $I: \mathbf{Set}^{\text{op}} \rightarrow \mathbf{Set}$  such that for any set  $X$  we have  $D(X) = I(X) = 2^X$ , where  $2^X$  denotes the set of all subsets of  $X$ . (In other words, you must define  $D$  and  $I$  on morphisms and prove that composition and identity maps are respected.)

**7.** Construct a functor  $L^1: \mathbf{Set} \rightarrow \mathbf{Ban}_1$  such that for any set  $S$  the Banach space  $L^1(S)$  is the space of functions  $f: S \rightarrow \mathbf{R}$  such that the sum  $\sum_{s \in S} f(s)$  exists (and is finite). Construct a functor  $L^\infty: \mathbf{Set}^{\text{op}} \rightarrow \mathbf{Ban}_1$  such that  $L^\infty(S)$  is the space of all bounded functions  $S \rightarrow \mathbf{R}$ .

**8.** Show that the class of epimorphisms in the category of Hausdorff topological spaces coincides with the class of continuous maps whose image is dense.

**9.** Describe concretely all monomorphisms and epimorphisms in  $\mathbf{BR}$ . Same question for  $\mathbf{Open}$  and  $\mathbf{Open}_*$ . Same question for  $\mathbf{Poset}$ .