- 1. Which sets of data given below define categories? Warning: some sets of data are incomplete, e.g., do not specify composition. You must reconstruct all missing data (this is a creative process and can have more than one answer). Some items below have negative answers. Some are purposefully nonsensical. If some set of data cannot be made into a category, you have to provide a proof.
  - Topological spaces and proper maps. (A map  $f: X \to Y$  is *proper* if it is continuous and for any compact subset  $T \subset Y$  the subset  $f^{-1}(T) \subset X$  is also compact.)
  - Sets and relations. (More precisely, given two sets X and Y, morphisms  $X \to Y$  are relations from X to Y, i.e., subsets of  $X \times Y$ . Two relations  $R \subset X \times Y$  and  $S \subset Y \times Z$  are composed as follows:  $R \circ S = \{(x, z) \mid \exists y \in Y : (x, y) \in R \land (y, z) \in Z\}.$
  - Sets and surjective functions.
  - Sets and partially defined functions. (A partially defined function  $X \to Y$  is a function  $A \to Y$ , where  $A \subset X$ . If  $x \in X$ , we say that f is defined on x (or: f(x) is defined) if  $x \in A$ . Partially defined functions are composed as follows: if  $f: X \to Y$  and  $g: Y \to Z$  are partially defined function, then the composition gf is defined on  $x \in X$  if f is defined on  $x \in X$  and  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if  $x \in X$  if  $x \in X$  is defined on  $x \in X$  if  $x \in X$  if x
  - Fix a topological space X and define a category as follows. Objects are continuous functions with codomain X, i.e.,  $f: Y \to X$  (Y is arbitrary). (Here *morphisms* in Top play the role of *objects* in the category that we are constructing.) Morphisms from an object  $f: Y \to X$  to an object  $f': Y' \to X$  are continuous maps  $g: Y \to Y'$  such that f'g = f.
  - The category Open. Objects are open subsets of  $\mathbb{R}^n$ , where n is arbitrary (not fixed). Morphisms  $U \to V$  are infinitely differentiable maps  $U \to V$ .
  - The category  $\mathsf{Open}_*$ . Objects are pairs (U, x), where  $U \subset \mathbf{R}^n$  is an open subset and  $x \in U$ . Morphisms  $(U, x) \to (V, y)$  are infinitely differentiable maps  $U \to V$  that map x to y.
  - $\mathsf{Mat}_{\mathbf{R}}$ : objects are natural numbers  $n \geq 0$  and morphisms  $m \to n$  are matrices of size  $n \times m$ . Composition is multiplication of matrices.
  - BR: there is only one object \*. Morphisms \* → \* are real numbers. Composition of morphisms is given
    by multiplication of real numbers.
  - There is only one object \*. Morphisms \*  $\rightarrow$  \* are compactly supported continuous functions  $\mathbf{R} \rightarrow \mathbf{R}$ . Composition of morphisms is given by multiplication of functions.
  - Poset: objects are partially ordered sets (i.e., a set X with a relation R that is reflexive  $(x \le x)$ , transitive  $(x \le y \text{ and } y \le z \text{ implies } x \le z)$ , and antisymmetric  $(x \le y \text{ and } y \le x \text{ implies } x = y)$ . Morphisms are functions that preserve the order: if  $x \le y$ , then  $f(x) \le f(y)$ .
- 2. Which sets of data below define functors? (Same warning as above.)
  - Open<sub>\*</sub>  $\to$  Vect<sub>**R**</sub>. Send  $U \subset \mathbf{R}^m$  to  $\mathbf{R}^m$ . Send  $f:(U,x) \to (V,y)$  to the linear map  $\mathbf{R}^m \to \mathbf{R}^n$  given by the Jacobian matrix of f at x, i.e., the entry in ith row and jth column is the value of the ith partial derivative of the jth coordinate of f at point x. In symbols:  $a_{i,j} = \frac{\partial f_j}{\partial x_i}(x)$ . (The jth coordinate of f is the composition  $U \to V \subset \mathbf{R}^n \to \mathbf{R}$ , where  $\mathbf{R}^n \to \mathbf{R}$  is the projection to the jth component.)
  - $\mathsf{Mat}_{\mathbf{R}} \to \mathsf{BR}$ : send any object  $n \ge 0$  of  $\mathsf{Mat}_{\mathbf{R}}$  to the only object of  $\mathsf{BR}$ . Send a matrix of size  $m \times n$  to its determinant (a morphism in  $\mathsf{BR}$ ) if m = n. Otherwise send it to zero.
  - OpenSet: Top  $\to$  Poset: send any topological space X to the poset OpenSet(X) whose elements are open subsets of X and the ordering is given by inclusion. Send any continuous map  $f: X \to Y$  of topological spaces to the map of posets  $g: \mathsf{OpenSet}(X) \to \mathsf{OpenSet}(Y)$  defined as follows:  $g(U) = \bigcup_{V \subset f(U)} V$ , where V runs over open subsets of Y.
- **3.** Define a functor  $\mathsf{Mat_R^{op}} \to \mathsf{Mat_R}$ . Define a functor  $\mathsf{Mat_R} \to \mathsf{Vect_R}$ . Define a functor  $\mathsf{BR} \to \mathsf{Mat_R}$ . Define a functor  $\mathsf{Mat_R} \to \mathsf{Open_*}$ . Define a functor  $\mathsf{Top^{op}} \to \mathsf{Poset}$ .
- **4.** This problem investigates how categories work with measure theory. A novel feature is that measurable maps that differ on a set of measure 0 must be identified.
  - Define a measurable space as a pair  $(X, \Sigma)$ , where X is a set and  $\Sigma$  is a  $\sigma$ -algebra on X, i.e., a collection of subsets of X that is closed under complements and countable unions. Define a measurable map  $(X, \Sigma) \to (X', \Sigma')$  as a map of sets  $f: X \to X'$  such that the f-preimage of any element of  $\Sigma'$  is an element of  $\Sigma$ . Do measurable sets and measurable maps form a category?
  - Define an enhanced measurable space as a triple  $(X, \Sigma, N)$ , where  $(X, \Sigma)$  is a measurable space and N is a  $\sigma$ -ideal of  $\Sigma$ , i.e., a collection of elements of  $\Sigma$  that is closed under passage to subsets (if  $A \in \Sigma$ ,

- $B \in N$ , and  $A \subset B$ , then  $A \in N$ ) and countable unions. A negligible set is defined as a subset of some element of N. Define a measurable map  $(X, \Sigma, N) \to (X', \Sigma', N')$  as a map of sets  $f: X_f \to X'$  (where  $X_f \subset X$  is some subset) with the following properties: (1) The set  $X \setminus X_f$  is negligible. (2) For any  $m' \in \Sigma'$  there is  $m \in \Sigma$  such that the set  $f^*m' \oplus m$  is negligible. (3) For any  $n' \in \Sigma'$  the set  $f^*n'$  is negligible. Do enhanced measurable sets and measurable maps form a category?
- Two mesurable maps  $f, g: (X, \Sigma, N) \to (X', \Sigma', N')$  are equal almost everywhere  $(f \sim g)$  if  $\{x \in X_f \cap X_g \mid f(x) \neq g(x)\}$  is negligible. Show that equality almost everywhere defines an equivalence relation that is compatible with composition: if  $f \sim f'$  and  $g \sim g'$ , then  $fg \sim f'g'$ .
- Suppose C is a category and for every pair of objects  $X, Y \in C$  we are given an equivalence relation  $R_{X,Y}$  on C(X,Y) that is compatible with composition: if  $f \sim f'$  and  $g \sim g'$ , then  $fg \sim f'g'$ . Show that taking quotients of C(X,Y) with respect to these equivalence relations produces a category.
- 5. Fix a category C. A section of a morphism  $f: X \to Y$  in C is a morphism  $g: Y \to X$  such that  $fg = \mathrm{id}_Y$ . Give an example of a category C such that all epimorphisms have sections. Give an example of a category C and an epimorphism f in C that does not have a section. Hint: it suffices to use the examples that we studied in class.
- **6.** Fix a category  $\mathsf{C}$ . A bimorphism in  $\mathsf{C}$  is a morphism f that is simultaneously a monomorphism and an epimorphism. Is any isomorphism a bimorphism? Give an example of a category  $\mathsf{C}$  and a bimorphism f in  $\mathsf{C}$  that is not an isomorphism.
- 7. Construct two functors  $D: \mathsf{Set} \to \mathsf{Set}$  and  $I: \mathsf{Set}^{\mathsf{op}} \to \mathsf{Set}$  such that for any set X we have  $D(X) = I(X) = 2^X$ , where  $2^X$  denotes the set of all subsets of X. (In other words, you must define D and I on morphisms and prove that composition and identity maps are respected.)
- **8.** Construct a functor  $L^1: \mathsf{Set} \to \mathsf{Ban}_1$  such that for any set S the Banach space  $L^1(S)$  is the space of functions  $f: S \to \mathbf{R}$  such that the sum  $\sum_{s \in S} f(s)$  exists (and is finite). Construct a functor  $L^\infty: \mathsf{Set}^{\mathsf{op}} \to \mathsf{Ban}_1$  such that  $L^\infty(S)$  is the space of all bounded functions  $S \to \mathbf{R}$ .
- **9.** Show that the class of epimorphisms in the category of Hausdorff topological spaces coincides with the class of continuous maps whose image is dense.
- 10. Describe concretely all monomorphisms and epimorphisms in BR. Same question for  $\mathsf{Open}$  and  $\mathsf{Open}_*$ . Same question for  $\mathsf{Poset}$ .
- 11. A idempotent ring is a ring R such that  $x^2 = x$  for any  $x \in R$ . (Rings are assumed to be associative and unital, homomorphisms of rings preserve units.)
  - Show that any idempotent ring is commutative: xy = yx for all x and y.
  - Show that the relation  $x \leq y := (x = xy)$  defines a partial order on R.
  - Show that given a set X, equipping  $2^X$  (the set of subsets of X) with the following operations:  $0:=\emptyset$ ,  $x+y:=(x\setminus y)\cup (y\setminus x), \ -x:=X\setminus x, \ 1:=X, \ xy:=x\cap y$  produces an idempotent ring.
  - Recall that the supremum of a subset  $A \subset R$ , if it exists, is the unique element  $s \in R$  such that for all  $a \in A$  we have  $a \le s$  and if s' is another element with the same property, then  $s \le s'$ . Show that in the idempotent ring  $2^X$  every subset has a supremum.
  - An atom in an idempotent ring is an element  $a \in R$  such that  $a \neq 0$  and if  $0 \leq b \leq a$  for some  $b \in R$ , then b = 0 or b = a. Show that in the idempotent ring  $2^X$  every element can be represented as the supremum of a set of atoms.
  - Show that the assignment  $X \mapsto 2^X$  can be extended to a contravariant functor  $2^{(-)}$  from the category of sets to the category whose objects are idempotent rings in which every subset has a supremum and every element is the supremum of a set of atoms, and morphisms are homomorphisms of rings that preserve suprema  $(f: R \to R')$  preserves suprema if for any  $S \subset R$  we have sup  $f(S) = f(\sup S)$ .
  - Construct a contravariant functor going in the opposite direction. (Hint: it is useful to keep the example of the idempotent ring  $2^X$  when constructing this functor.)
  - Prove that the two functors together form an equivalence of categories.